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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/772,650	01/30/2001	Harm Sluiman	CA920000042US1	1018
25259	7590	03/23/2006	EXAMINER	
IBM CORPORATION 3039 CORNWALLIS RD. DEPT. T81 / B503, PO BOX 12195 REASEARCH TRIANGLE PARK, NC 27709			KANG, INSUN	
			ART UNIT	PAPER NUMBER
			2193	

DATE MAILED: 03/23/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/772,650

Applicant(s)

SLUIMAN, HARM

Examiner

Insun Kang

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 September 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-8 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-8 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: _____

DETAILED ACTION

1. This action is in response to the amendment filed 9/6/2005.
2. As per applicant's request, claims 1, 5, and 6 have been amended. Claims 1-8 are pending in the application.

Claim Rejections - 35 USC § 103

3. Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 6,633,888 to Kobayashi in view of Applicant's Admitted Prior Art (hereinafter referred to as "APA") disclosed in the instant application.

Per claim 1:

Kobayashi teaches:

- testing a software test component (i.e. "testing newly created component classes within the visual builder interface," in col 4 lines 62)
- ascertaining a public interface of the software test component (i.e. "once the interface of a bean is known, a programmer can create a new customized component from the base Java bean component," col 7 lines 31-45; see also col 8 lines 33-58; col 8 lines 33-58; col 8 lines 33-58)
- creating a wrapper component for the software test component (i.e. "a proxy component is created for each method, including constructors," abstract) by the substeps of defining a wrapper component interface to mirror the public interface of the software test component (i.e. "the parser/extractor 304 parses each constructor and

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each method and extracts any related fields, comments, and parameter names,” col. 8 lines 46-58 ; “proxy component encapsulates the parameters of that method. In particular, parameters associated with a method are represented by properties of the proxy component created for that method,” col 5 lines 1-9)

- defining the wrapper component to delegate to the software test component and to receive calls to the software test component(i.e. “a proxy component is created for each method, including constructors,” abstract ; “the bean compiler converts each component into proxy components,” col 8 lines 8-19) by including calls to the public interface of the software test component within the wrapper component (i.e.

“constructor and methods objects instantiated by the proxy beans 210 within bean-based application 216 to call the appropriate constructors and methods for the target class in the implementation code,” col. 9 lines 40-54 ; “the methods of proxy beans are invoked, they use the universal transport mechanism to invoke the actual component code in order to test the method,” col 22 lines 41-53; see also col 12 lines 18-25).

Kobayashi teaches that the “proxy components can be manipulated ...[and] Each composite component in the application can be tested...under control of the proxy components (col 8 lines 8-32; col. 22 lines 54-67 and col. 23 lines 1-6).” Although the proxy component can be edited to insert test code to capture and playback of user interaction with the interface, Kobayashi does not explicitly states capturing and playback of user interaction. APA discloses that such “GUI capture and playback tooling (page 1, specification)” was known in the art of software development and testing, at the time applicant's invention was made, to make “the recorded user-GUI

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interfaction available for repeated test cases (page 1, specification).” It would have been obvious for one having ordinary skill in the pertinent art to modify Kobayashi’s disclosed system to capture and playback user interactions disclosed in APA. The modification would be obvious because one having ordinary skill in the art would be motivated to record user-GUI interaction so that it can be used for repeated test cases (page 1, specification) as taught by APA.

- enabling a test case to use the wrapper component interface to pass the received calls to the software test component and to generate test data from the test code in the wrapper component (i.e. “when the methods of proxy beans are invoked, they use the universal transport mechanism to invoke the actual component code in order to test the method...the method parameters of the original bean are exposed by the proxy components created from the methods of that bean,” col 22 lines 46-53).
substantially as claimed.

Per claim 2:

The rejection of claim 1 is incorporated, and further, Kobayashi teaches:

- the software test component is an object-oriented software test component (i.e. “The beans to be tested,” col 22 lines 18-40)
- interrogating a test component definition to determine public methods, constructor and associated parameters for the software test component (i.e. “the parser/extractor ... parses each constructor and each method and extracts any related fields, comments, and parameter name,” col 8 lines 33-58)

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as claimed.

Per claim 3:

The rejection of claim 2 is incorporated, and further, Kobayashi teaches:

-the test component is a Java language class (i.e. "The beans to be tested," col 22 lines 18-40)

- use of an introspection group of interfaces in a Java Bean specification (i.e. "the parser/extractor ... parses each constructor and each method and extracts any related fields, comments, and parameter name," col 8 lines 33-58)

as claimed.

Per claim 4:

The rejection of claim 2 is incorporated, and further, Kobayashi teaches:

- defining public methods, constructors and associated parameters in the wrapper component to mirror the public methods, constructors and parameters determined for the software test component (i.e. "Using the extracted constructor information, the compiler module creates and compiles a constructor bean such as beans and ... The compiler ... also creates a method bean from extracted information for each method in the class," col 8 lines 33-58; "a proxy component is created for each method, including constructors ... which proxy component encapsulates the parameters of that method. In particular, parameters associated with a method are represented by properties of the proxy component created for that method," col 5 lines 1-9)

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as claimed.

Per claim 5, this is the computer program product version of claim 1, respectively, and is rejected for the same reasons set forth in connection with the rejection of claim 1 above.

Per claims 6-8, they are the system versions of claims 1, 2 and 4, respectively, and are rejected for the same reasons set forth in connection with the rejection of claims 1, 2 and 4 above.

Response to Arguments

4. Applicant's arguments filed 9/6/2005 have been fully considered but they are not persuasive.

The applicant states that: where is the wrapper with the mirror interface?

In response, the examiner points out again that a wrapper in the Java programming language is an object that encapsulates and delegates to another object for altering its behavior or interface. According to the applicant (specification, page 10), this wrapper operates as a "proxy" for the actual component and the "delegation code is generated for the wrapper's proxy classes to pass calls through to the component being tested." The generation of this wrapper through reflection to mirror the test component such as the limitations in the instant claim is possible through Java language features in the Java Bean specification. The instant specification also states that defining the

wrapper component is possible by using tools such as the "introspection group of interfaces in the Java Bean specification." Such tools permit a wrapper generator to ascertain the members in the actual component to be used to define the proxy wrapper (page 10). Therefore, it is evident that the present invention simply uses the existing Java language features in the Java Bean specification to create a wrapper component, which acts as a proxy. That being said, Kobayashi's proxy bean acts as a delegate to the API of the actual bean and Kobayashi uses the parsing/extracting mechanism to determine/describe (i.e. introspection) and obtain (i.e. reflection) information about the members of a class such as the properties, methods, and constructors (i.e. "the parser/extractor 304 parses each constructor and each method and extracts any related fields, comments, and parameter names," col. 8 lines 46-58). This extracting mechanism extends the conventional extraction process of "reflection" in the Java Bean specification so that the mechanism does not only determine the method parameters but also allows the "parameters to be converted to properties of the method bean created from the original method (col. 9 lines 1-19)." Using this extraction process (i.e. reflection), the APIs for all the classes can be retrieved and a proxy bean can be generated. The proxy component is to mirror the test component such as the wrapper in the instant claims. Therefore, Kobayashi discloses the limitation, defining a wrapper component interface to mirror the public interface of the software test component."

Therefore, in view of the broadest reasonable interpretation, the rejections of claims are considered proper and maintained.

Conclusion

5. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Insun Kang whose telephone number is 571-272-3724. The examiner can normally be reached on M-F 7:30-4 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kakali Chaki can be reached on 571-272-3719. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you

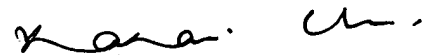
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have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

I. Kang
Patent Examiner
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